



03-2406

IPW

Docket No. 212/692US

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Zhengguo Li, et al.

Serial No.: 10/528,363

Filed: February 25, 2005

For: A Method and an  
Apparatus for Controlling the  
Rate of a Video Sequence; A  
Video Encoding Device

Art Unit: 2614

Examiner: unassigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner For Patents  
Office of Initial Patent Examination  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Attached is a copy of the official filing receipt  
received from the USPTO in the above application for which

---

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this response (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelope addressed to the Commissioner for Patents, Office of Initial Patent Examination, P.O. Box 1450, Alexandria, VA 22313.

Express Mail No. EV493071405US  
Date: March 22, 2006

*Michelle Campero*  
Michelle Campero

issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following, which is incorrectly entered:

Pertaining to the information listed for Zhengguo Li there is a spelling error in the word "Lerong". The correct spelling is "Lorong".

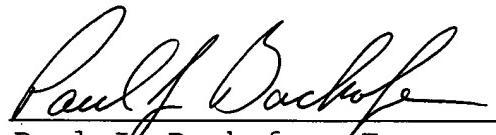
In addition, pertaining to the information listed for Genan Feng please change "Jurong West Street, Singapore" to "7 Gui Qing Road, P.R. China".

Lastly, pertaining to the information listed for Dushong Wang there was an error in the data entry. Please change "Singapore" to "P.R. China".

Please charge any fee associated with the change to our deposit account 03-3700.

Date: March 22, 2006

By:

  
\_\_\_\_\_  
Paul J. Backofen, Esq.  
Reg. No. 42,278



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/528,363	10/03/2005	2614	1180	212/692US	4	23	3

CONFIRMATION NO. 7049

23371  
 CROCKETT & CROCKETT  
 24012 CALLE DE LA PLATA  
 SUITE 400  
 LAGUNA HILLS, CA 92653

FILING RECEIPT



\*OC000000017957750\*

Date Mailed: 02/01/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Zhengguo Li, Toa Payoh Lerong, SINGAPORE;  
 Feng Pan, Clementi Avenue, SINGAPORE;  
 Keng Pang Lim, Ang Mo Kio Avenue, SINGAPORE;  
 Dajun Wu, Jurong West Street, SINGAPORE;  
 Rongshan Yu, Boon Lay Drive, SINGAPORE;  
 Genan Feng, Jurong West Street, SINGAPORE;  
 Dusheng Wang, Dalian, SINGAPORE;

**Power of Attorney:** The patent practitioners associated with Customer Number 23371.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/SG02/00206 09/05/2002

**Foreign Applications**

**Projected Publication Date:** 05/11/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Method and an apparatus for controlling the rate of a video sequence; a video encoding device

**Preliminary Class**

348

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).